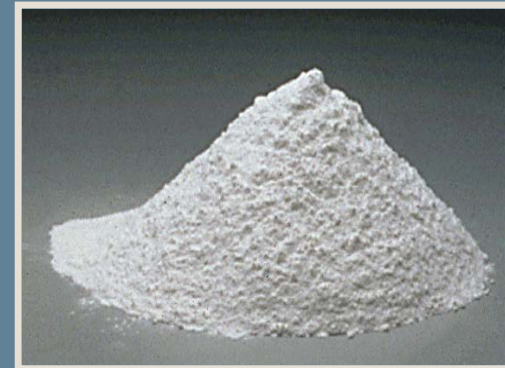
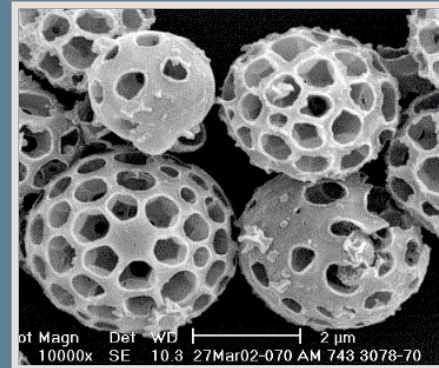
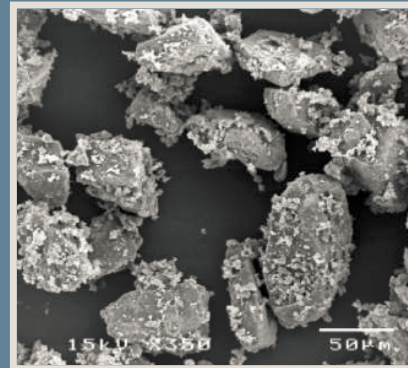


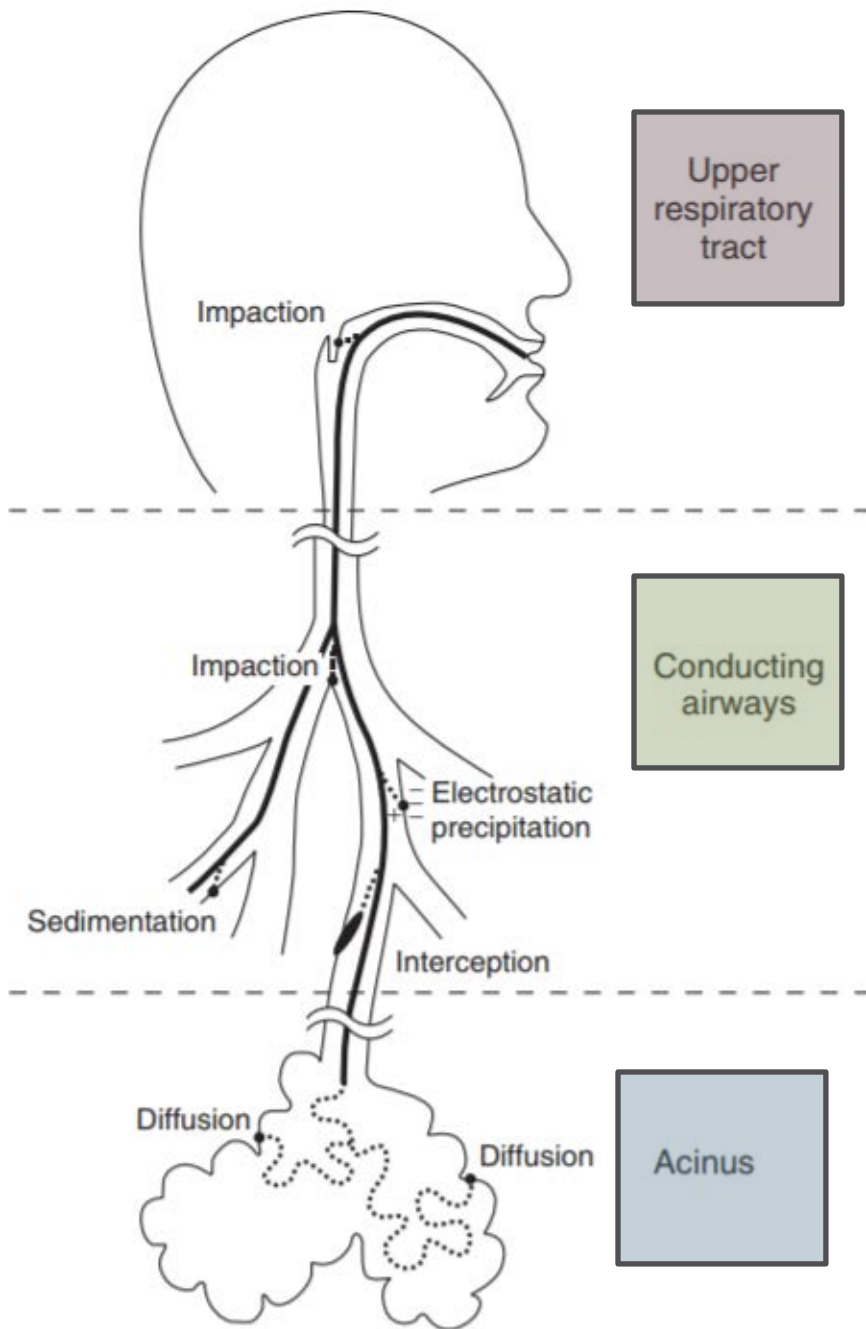
Is Aerodynamic Diameter a Good Metric for Understanding Regional Deposition?



Jeffry Weers, Nani Kadrlichu, and Nagaraja Rao

Respiratory Drug Delivery Europe 2019 ; Lisbon, Portugal, May 9, 2019

The TLD is the fraction of particles that bypass deposition in the URT and are not exhaled



“Deposition in the airways is controlled by three factors: airway geometry, aerodynamic particle size, and inhaled flow rate”

Lippman, 1977

$$Stk = \frac{\rho_p d_p^2 u C_c}{18\mu D} \sim \frac{d_a^2 Q C_c}{18\mu D} \quad (\text{Stokes' Equation})$$

$d_a^2 Q$ = impaction parameter

$$v = \frac{\rho_p d_p^2}{18\mu} g \sim \frac{d_a^2}{18\mu} g \quad (\text{Terminal Settling Velocity})$$

Source: Dolovich MB, Mitchell JP, Roberts DL: J Aerosol Med Pulm Drug Deliv. 2018, doi.org/10.1089/jamp.2018.1479.

Source: Darquenne C: Particle deposition in the lung, 2006

Is there a link between aerodynamic size and regional lung deposition?

JOURNAL OF AEROSOL MEDICINE AND PULMONARY DRUG DELIVERY
Volume 31, Number 0, 2018
© Mary Ann Liebert, Inc.
Pp. 1–3
DOI: 10.1089/jamp.2017.1396

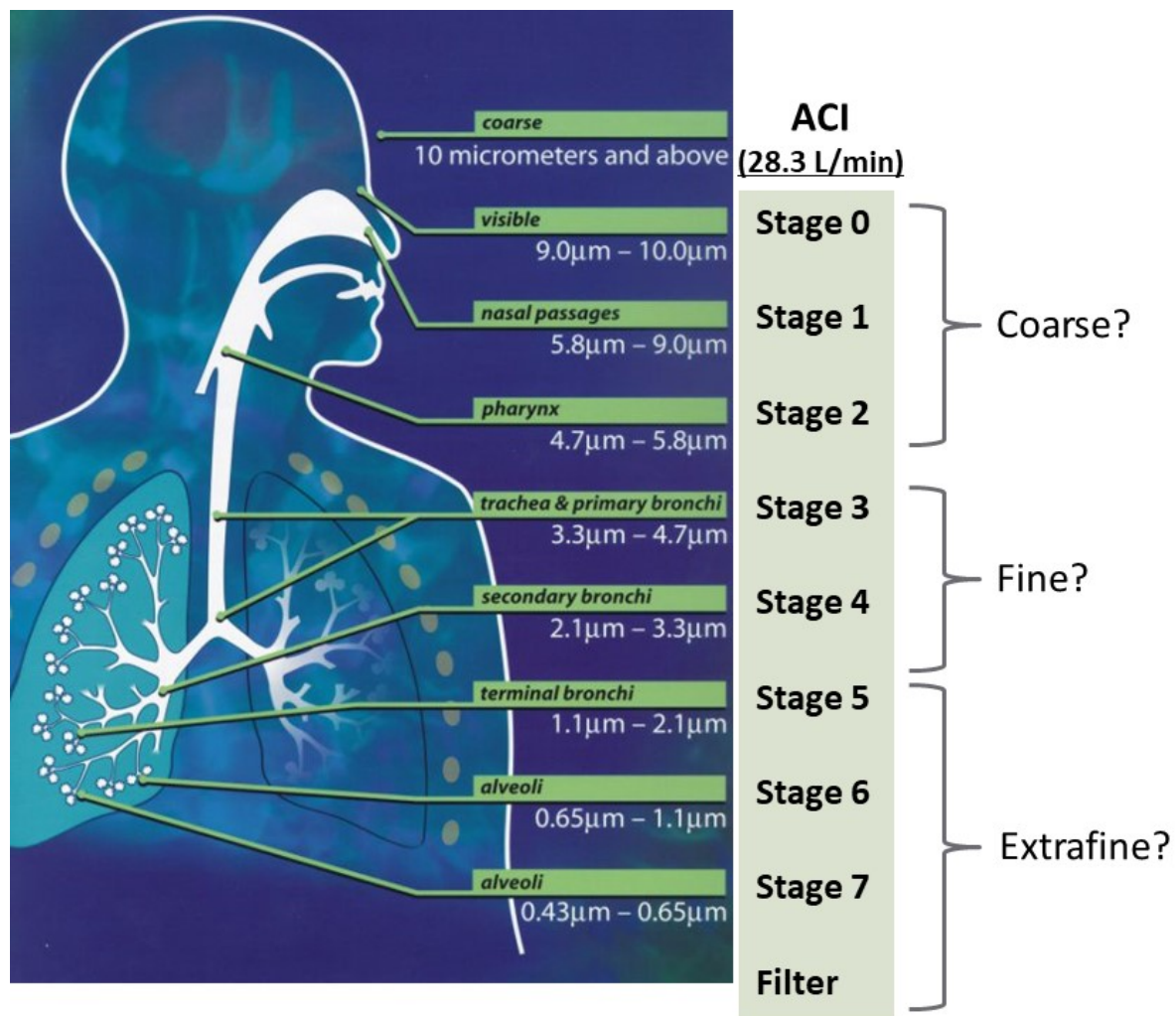
Letter to the Editor

Harmonizing the Nomenclature for Therapeutic Aerosol Particle Size: A Proposal

Elizabeth V. Hillyer, DVM, ELS, MWC,¹ David B. Price, FRCGP,^{1,2} Henry Chrystyn, PhD, FRPharmS,^{1,3}
Richard J. Martin, MD,⁴ Elliot Israel, MD,⁵ Willem M.C. van Aalderen, MD, PhD,⁶ Alberto Papi, MD,⁷
Omar S. Usmani, MBBS, PhD, FHEA, FRCP,⁸ Nicolas Roche, MD, PhD⁹;
on behalf of the Respiratory Effectiveness Group, Small Airways Study Group

- ❖ “We propose the terms ‘coarse’, ‘fine’, ‘extrafine’ to differentiate orally inhaled medical aerosols with particle MMADs of $>5 \mu\text{m}$, $2.1\text{-}5 \mu\text{m}$, and $<2.1 \mu\text{m}$, respectively”.
- ❖ “Regional lung deposition varies according to aerosol particle MMAD as well as GSD. In general, particles $> 6 \mu\text{m}$ deposit preferentially in the oropharynx, those $2\text{-}6 \mu\text{m}$ in the central airways, and those $< 2 \mu\text{m}$ in the peripheral airways and alveoli”.

Cascade impactors are not lung simulators



“Cascade impactors are not lung simulators, despite marketing drawings to that effect created historically by some manufacturers of the Andersen cascade impactor”. -Dolovich et al, 2018



Q = 28.3 L/min

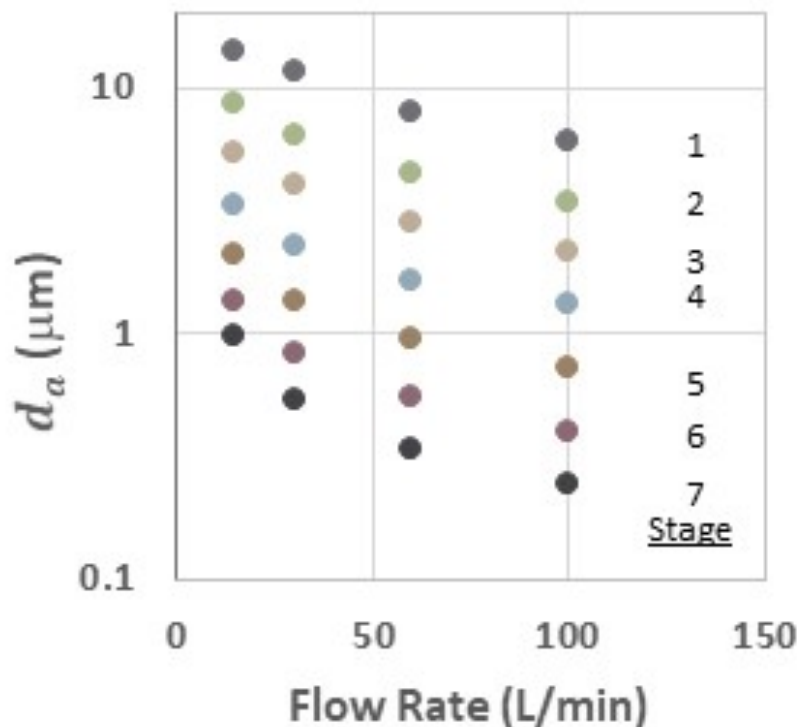
Are cascade impactors just a QC tool?

Source: Hillyer EV et al: Harmonizing the nomenclature for therapeutic aerosol particle size: a proposal. J Aerosol Med Pulm Drug Deliv. 2018, 31:111-113.

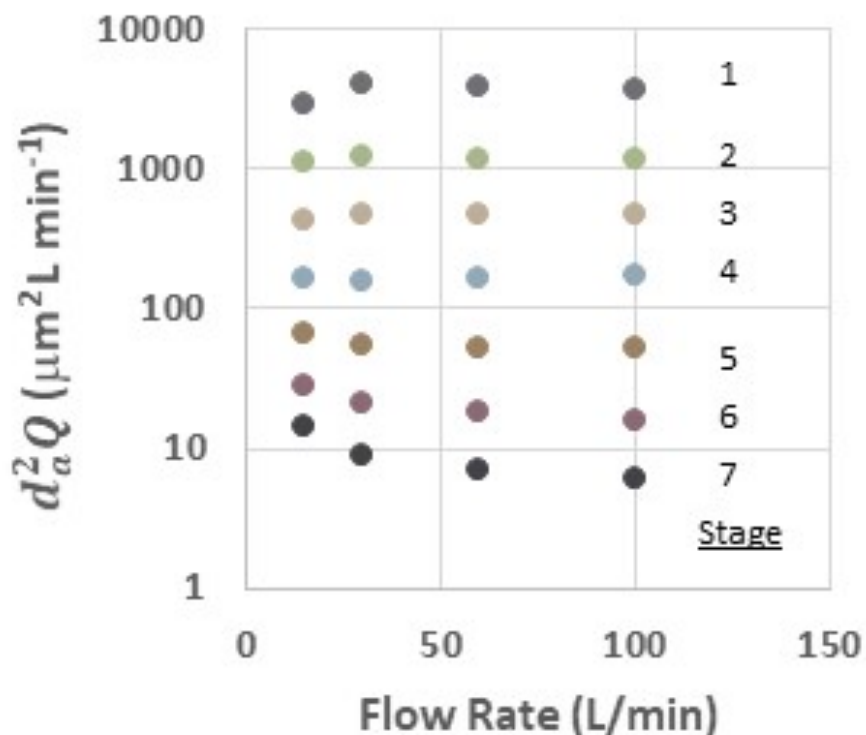
Source: Dolovich MB, Mitchell JP, Roberts DL: J Aerosol Med Pulm Drug Deliv. 2018, doi.org/10.1089/jamp.2018.1479.

While stage cutoff diameters vary with flow rate, the impaction parameter cutoffs do not

Cutoff Diameters For NGI Stages



Impaction Parameter Cutoffs for NGI Stages

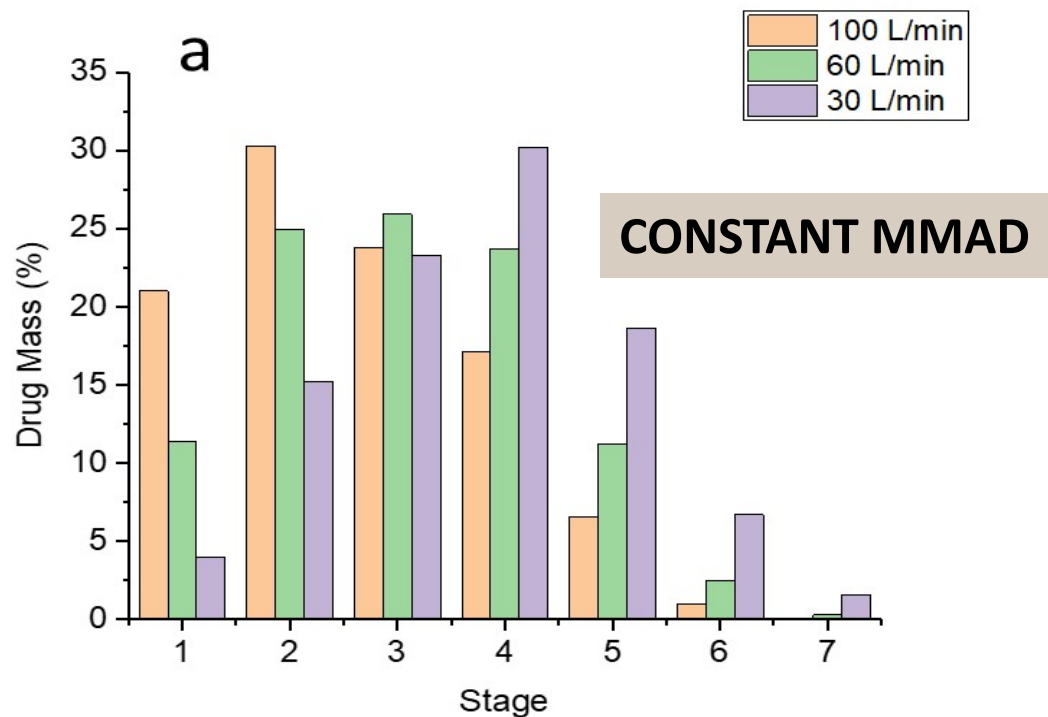


Next Generation Impactor

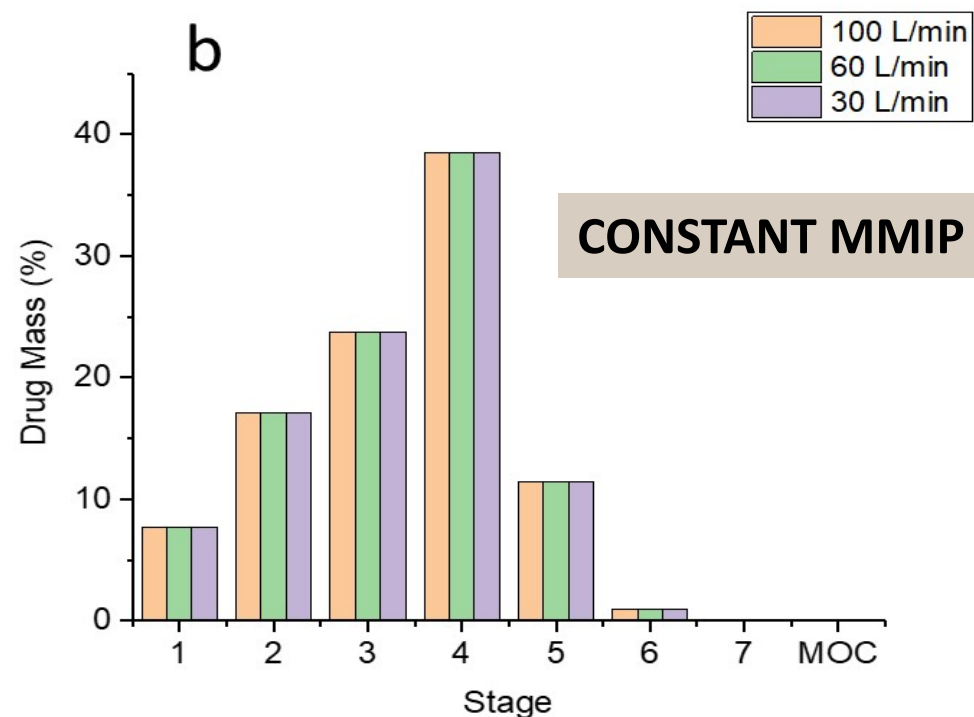


Source: Marple VA, Olson BA, Santhanakrishnan K, Roberts DL, Mitchell JP, Hudson-Curtis BL. Next generation pharmaceutical impactor. A new impactor for pharmaceutical inhaler testing. Part III. Extension of archival calibration to 15 L/min. J Aerosol Med. 2004;17:335-43.

Which *in vitro* measure of flow rate dependence is consistent with *in vivo* delivery?



Q (L/min)	MMAD (μm)	FPD _{<3.3μm} (μg)	MMIP (μm^2 L/min)	FPD _{S4-F} (μg)
30	3.5	45	398	58
60	3.5	45	816	38
100	3.5	46	1282	25



Q (L/min)	MMAD (μm)	FPD _{<3.3μm} (μg)	MMIP (μm^2 L/min)	FPD _{S4-F} (μg)
30	3.9	38	466	43
60	2.8	49	466	43
100	2.2	61	466	43

Q index:

A quantitative metric for assessing flow rate dependence

$$Q_{index} = \frac{(TLD_{6kPa} - TLD_{1kPa})}{TLD_{max}} \times 100$$

N = 27 Data Sets

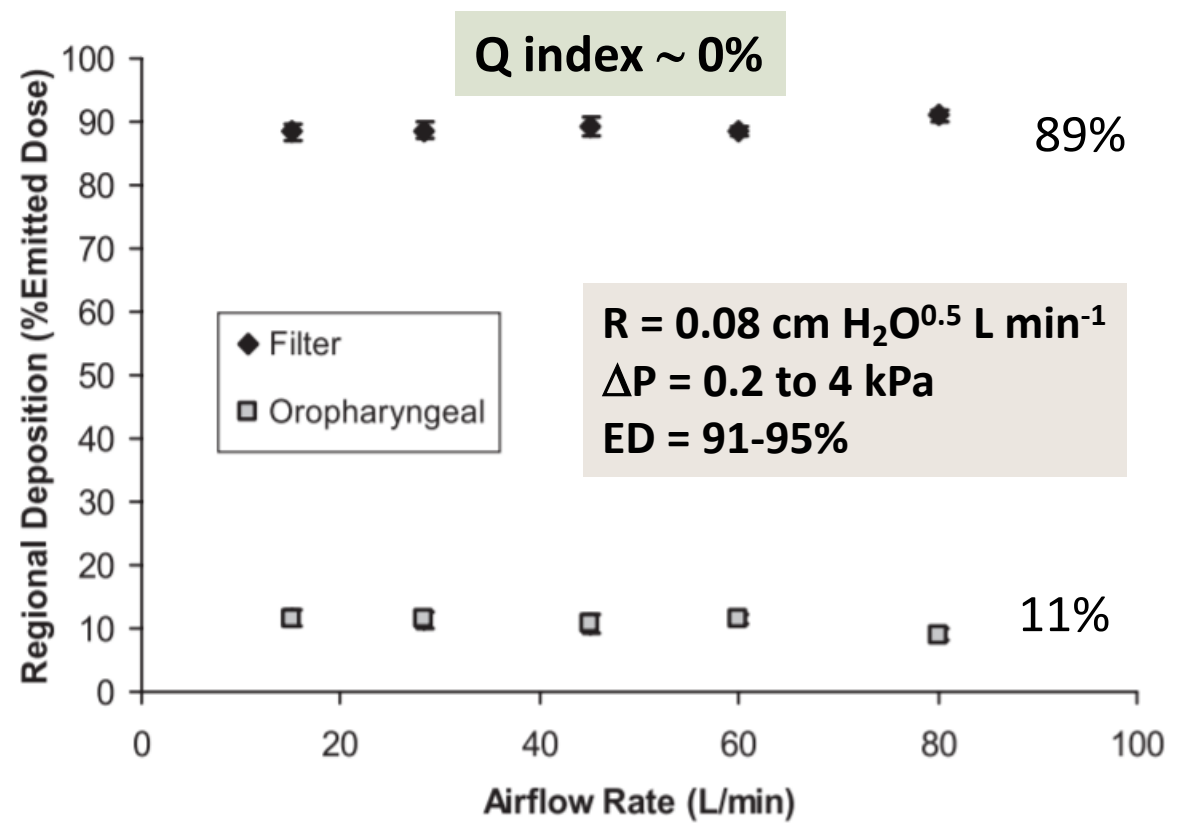
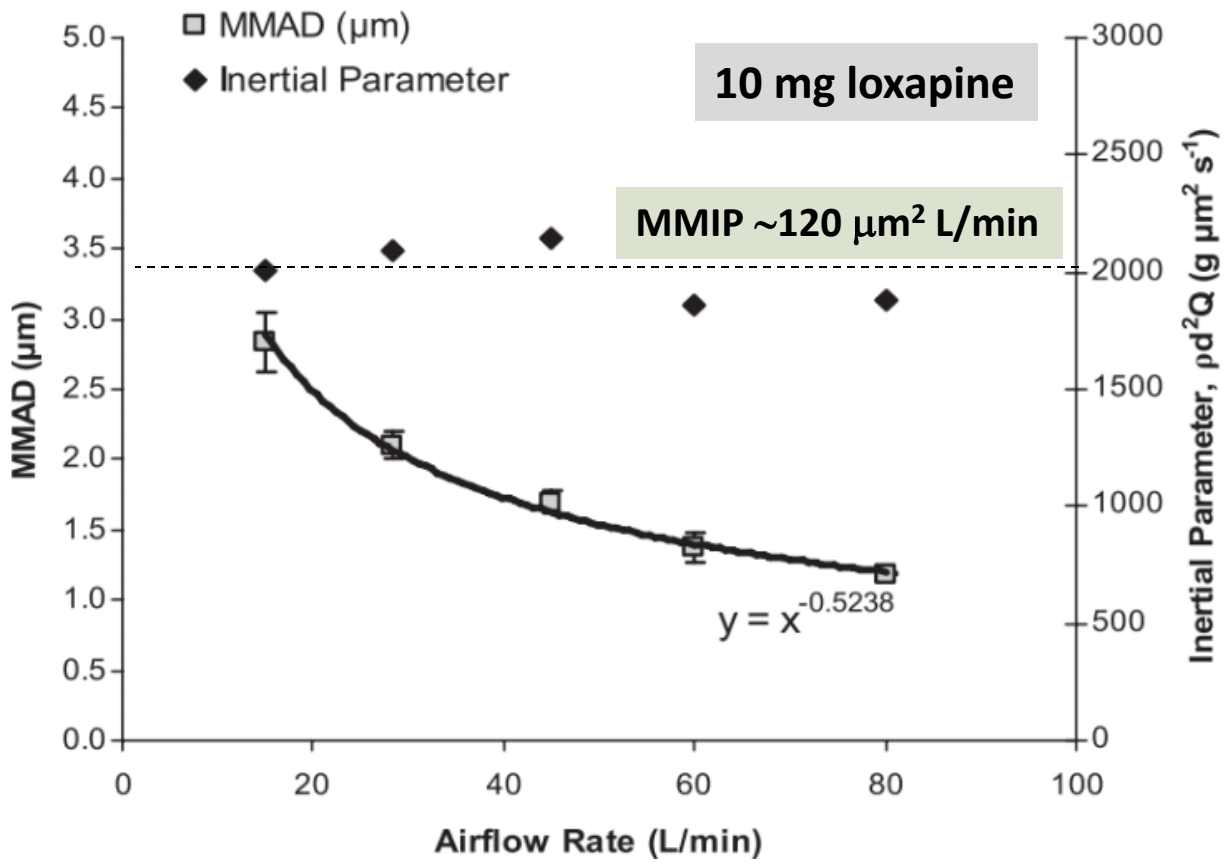
Sign: + sign indicates that TLD is increasing with increasing ΔP
- sign indicates that TLD is decreasing with increasing ΔP

Magnitude of Q index: $\leq 15\%$ = **low**; 15-40% = **medium**; $>40\%$ = **high**

If an impactor is used, Q index must be calculated from stage groupings ($d_a^2 Q$ cutoffs as opposed to size cutoffs)

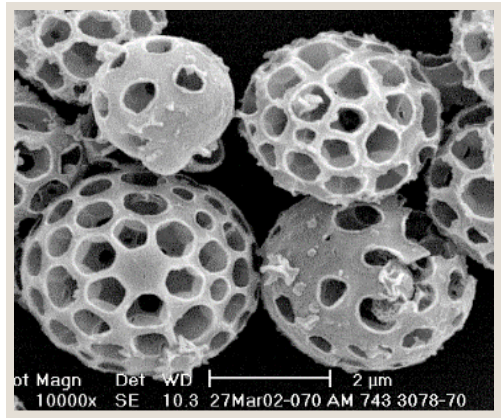
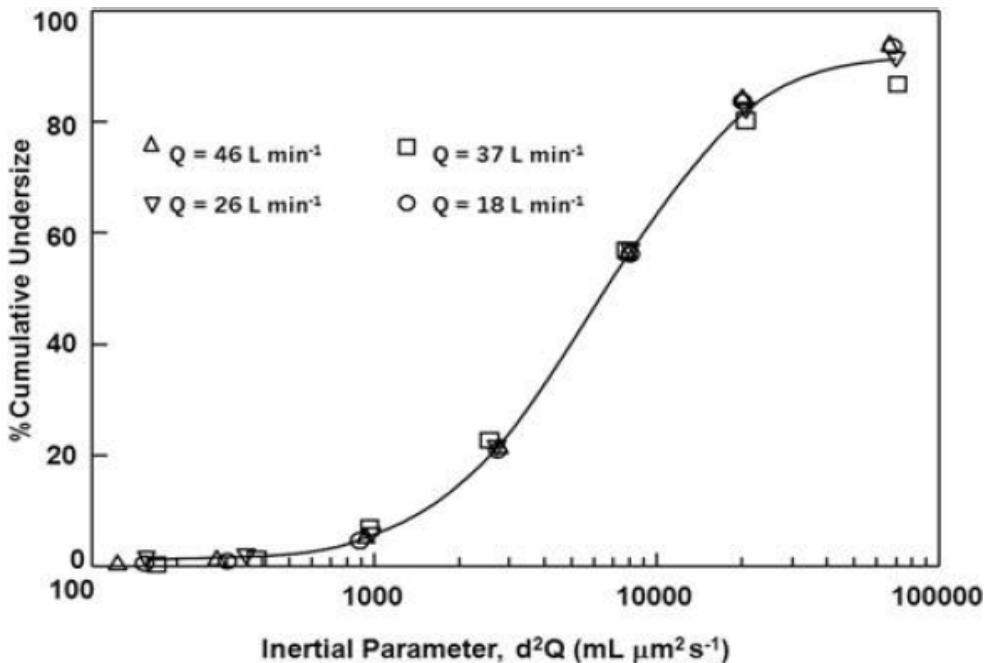
Source: Weers J, Clark A: The impact of inspiratory flow rate on drug delivery to the lungs with dry powder inhalers. Pharm Res, 2017, 34: 507-528.

Constant MMIP as a function of Q leads to constant deposition in the URT and LRT (condensation aerosol)

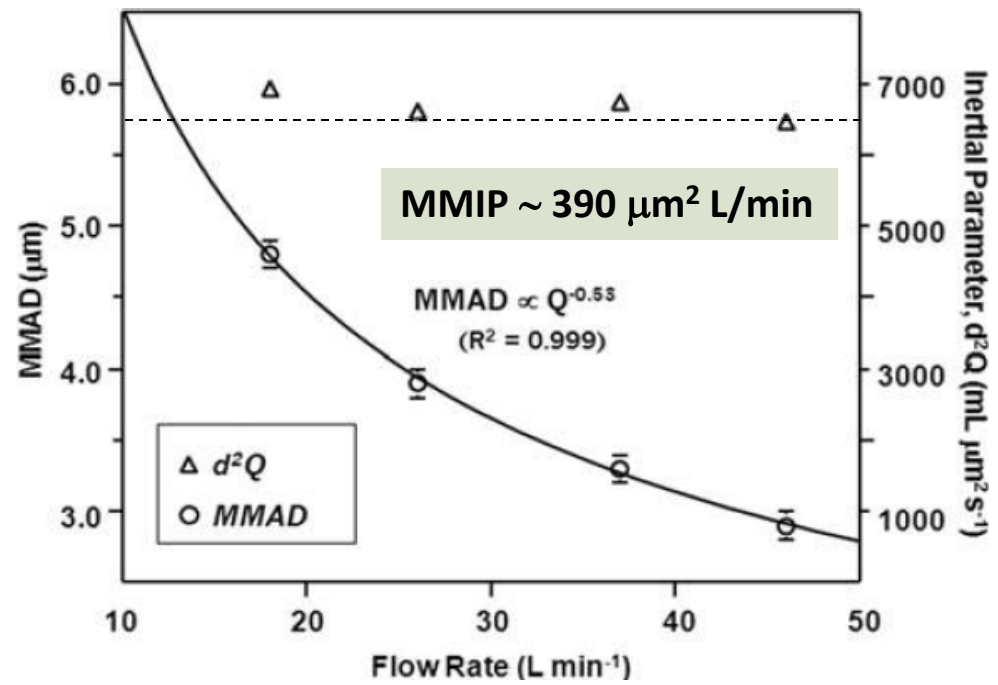


Source: Dinh et al: In vitro aerosol deposition in the oropharyngeal region for Staccato[®] loxapine. J Aerosol Med Pulm Drug Deliv. 2010;23:253-260.

Constant MMIP as a function of Q leads to constant deposition in the URT and LRT (dry powder aerosol)



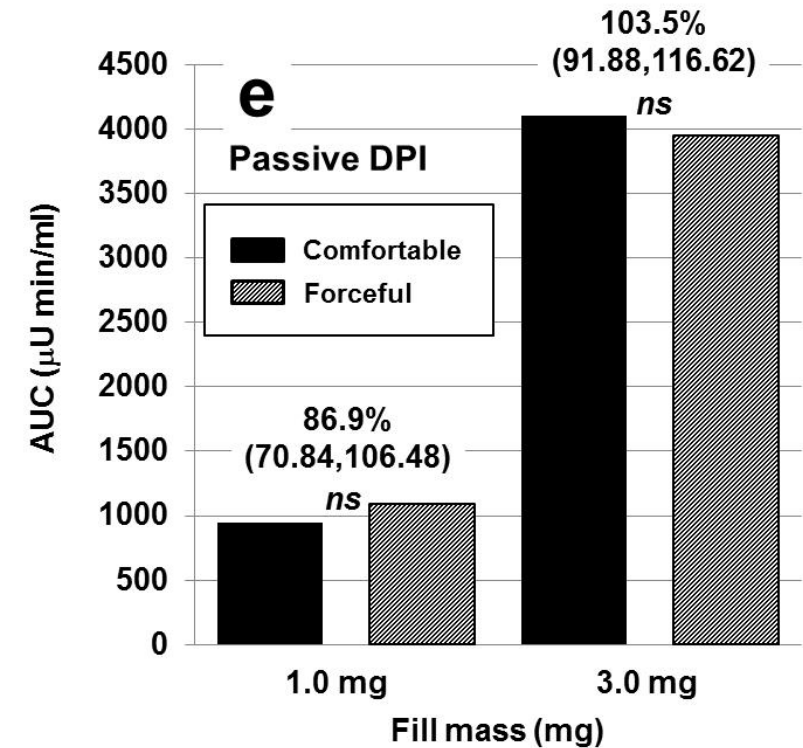
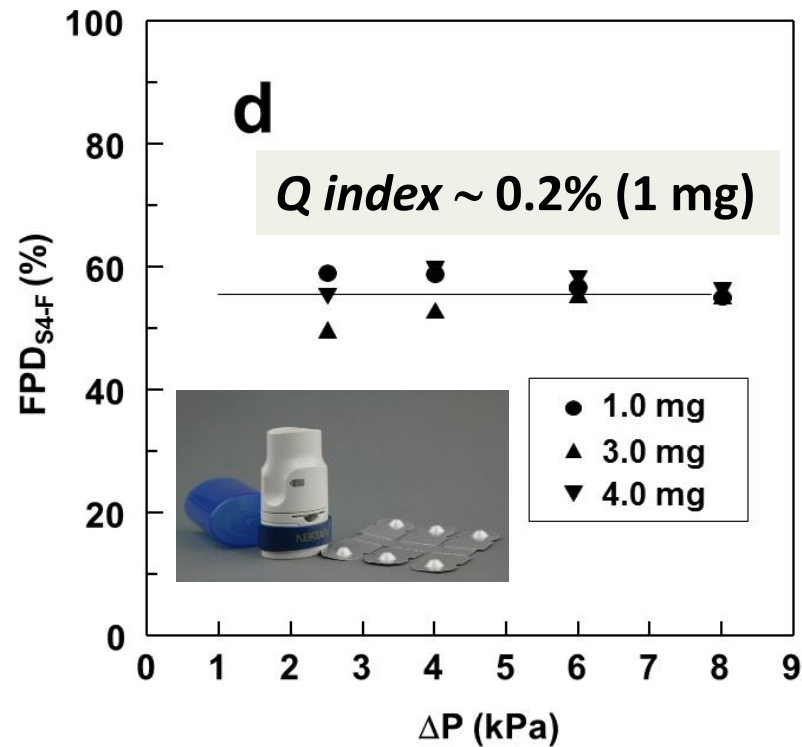
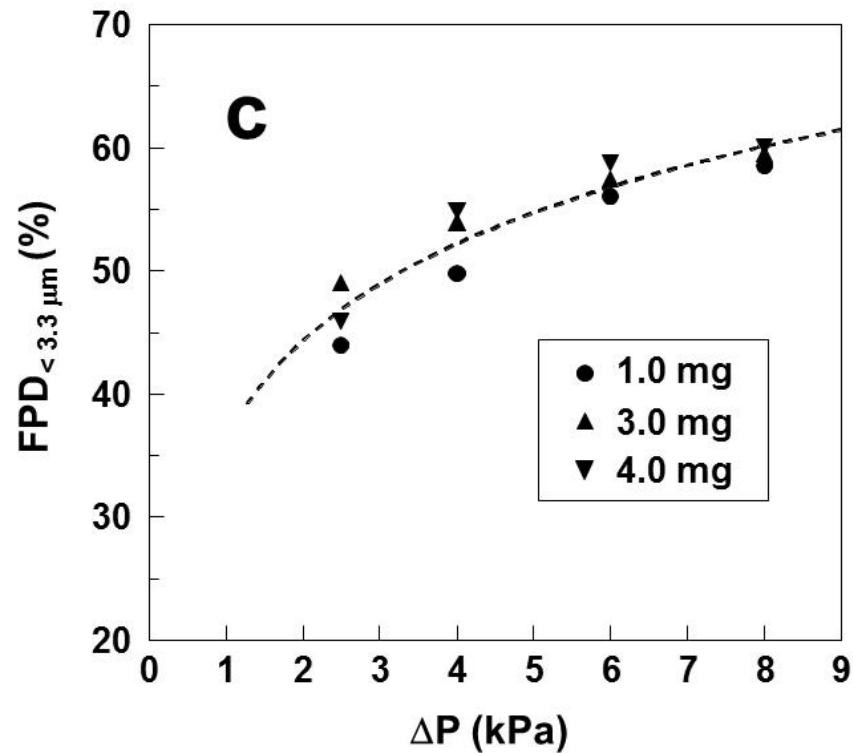
Q index = +2.7%



ΔP (kPa)	Q (L min ⁻¹)	DD (%ND)	URT (%DD)	TLD (%DD)
1.0	16	85	17.6	82.4
2.0	22	88	14.8	85.2
4.0	32	90	16.7	83.3
6.0	40	92	14.1	85.9

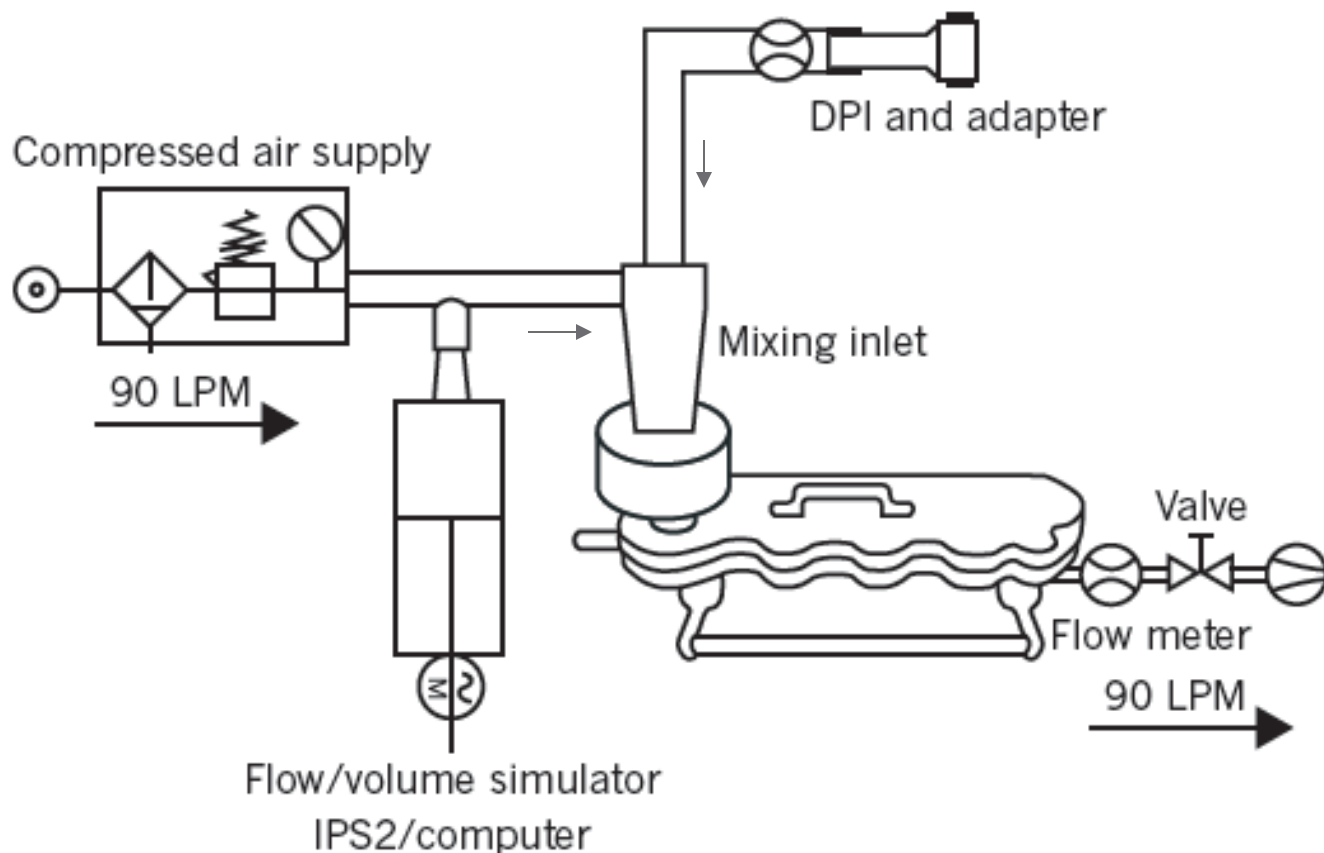
Source: Weers et al: Dose emission characteristics of placebo PulmoSphere™ particles are unaffected by a subject's inhalation maneuver. J Aerosol Med Pulm Drug Deliv. 2013;26:56-68.

Delivery of insulin inhalation powder with a 'passive' dry powder inhaler



Source: Weers J, Clark A: The impact of inspiratory flow rate on drug delivery to the lungs with dry powder inhalers. Pharm Res, 2017, 34: 507-528.

A flow/volume simulator and mixing inlet enables assessment of realistic breathing profiles with an NGI

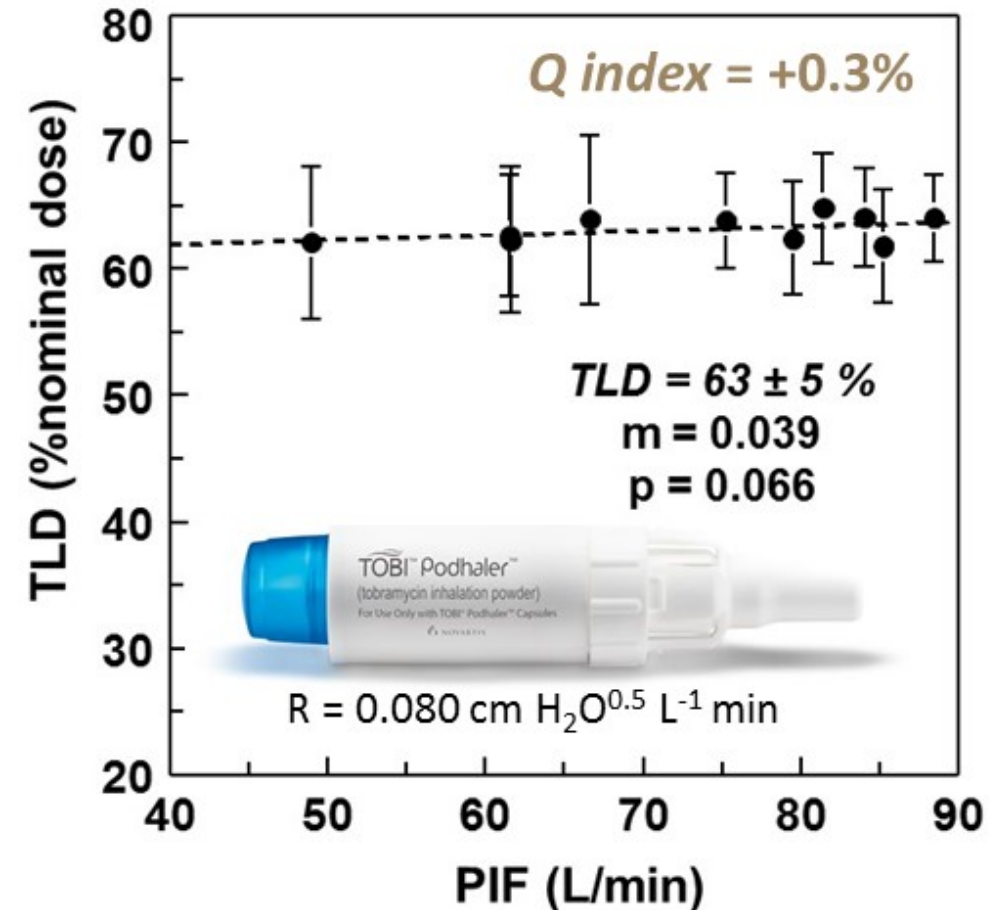
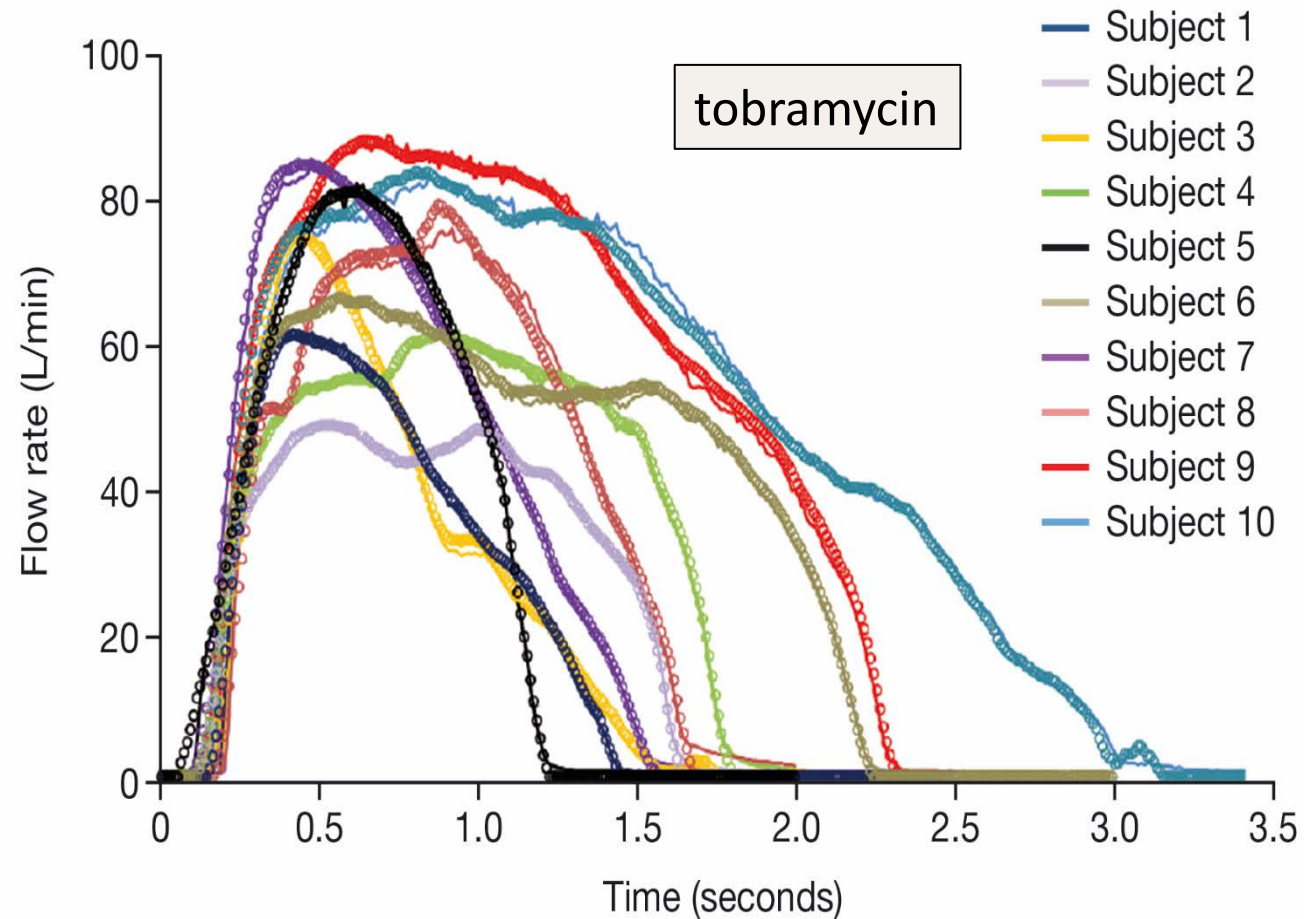


- ❖ **Bypass flow is added to achieve a constant air flow in the impactor**
 - This enables calculation of an aerodynamic size
- ❖ **Unfortunately, this method shifts the distribution of particles on the impactor stages, thereby destroying the IVIVC with respect to particle deposition in the respiratory tract**
- ❖ **Better off using realistic breathing profiles with no mixing inlet, assessing MMIP and stage groupings!**

DPI = dry powder inhaler; LPM = liters per minute.

Flow rate independence in TOBI[®] Podhaler[™]

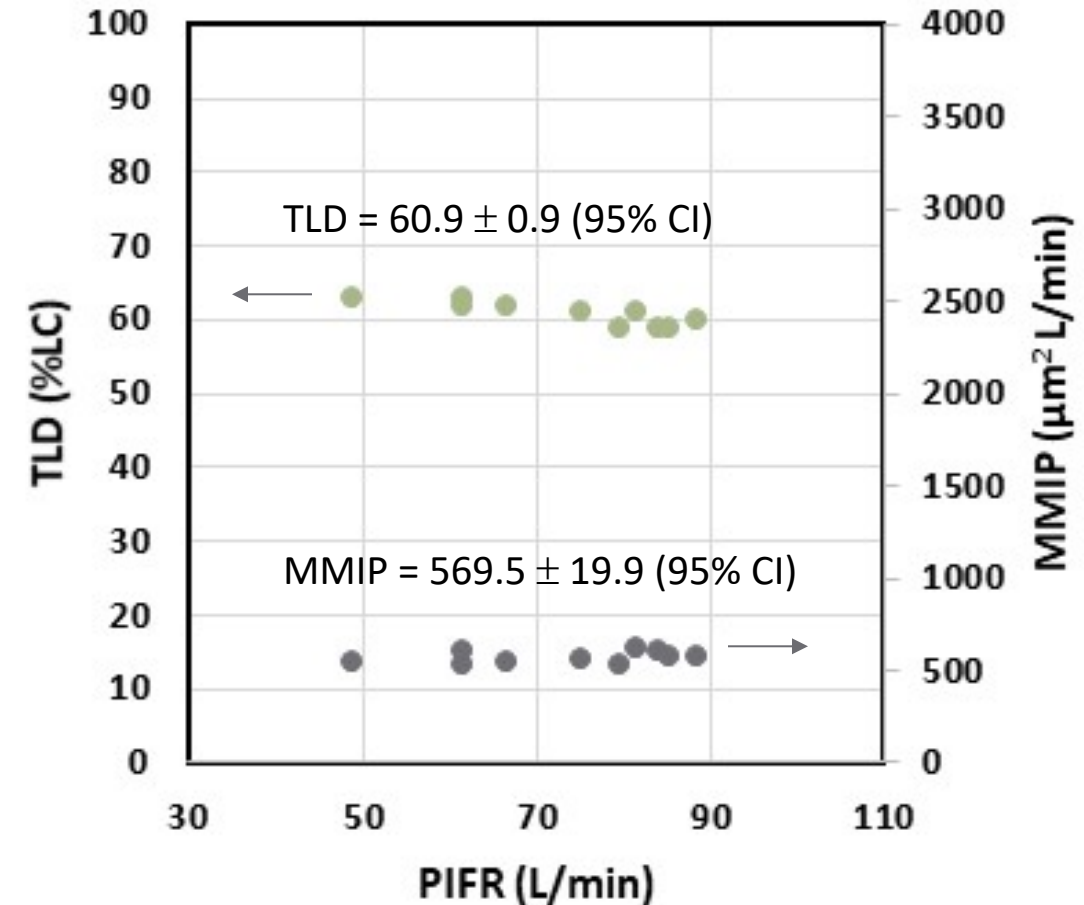
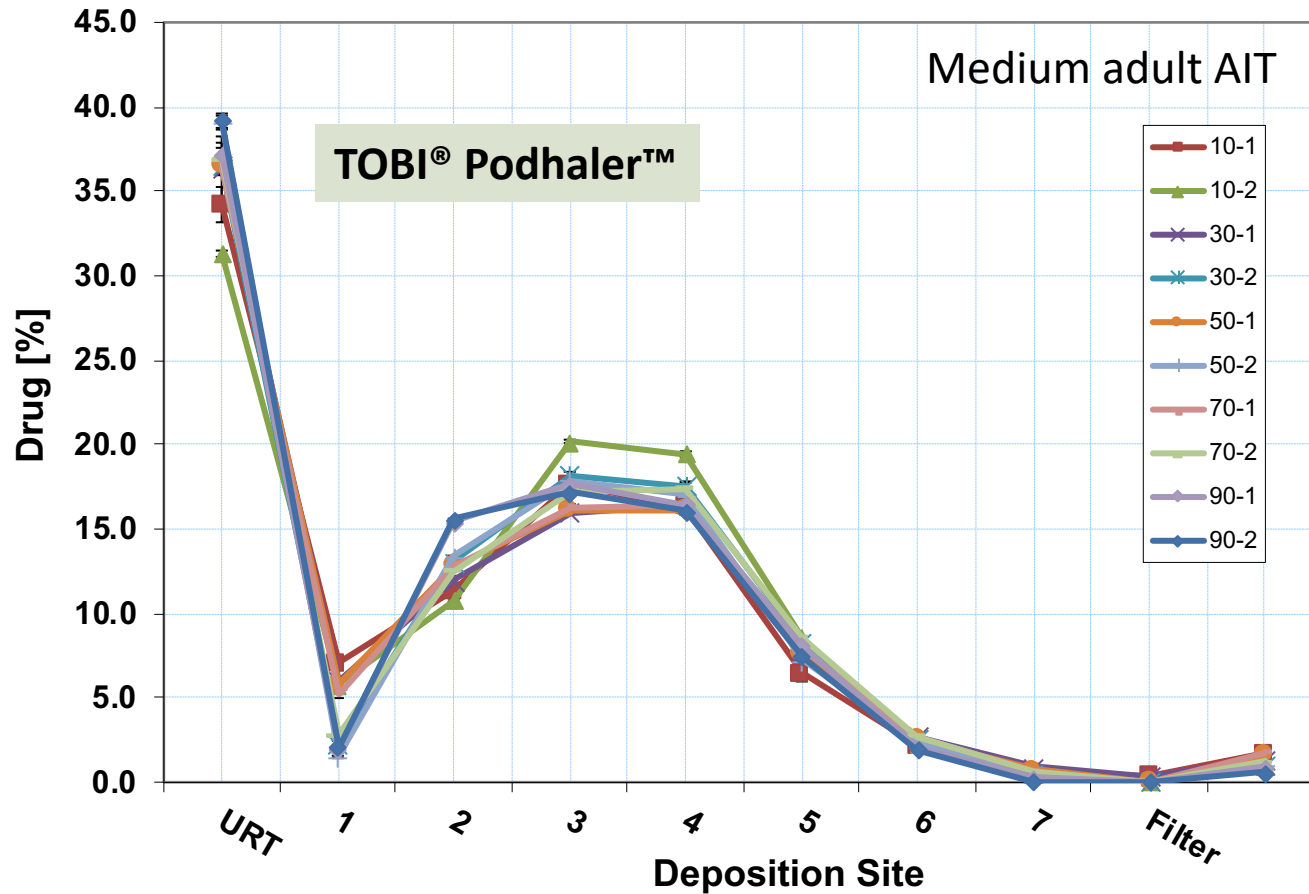
Patients need not inhale forcefully – Reduced Q lowers cough response



Source: Haynes et al: Inhalation of tobramycin using simulated cystic fibrosis patient profiles. *Pediatr Pulmonol.* 2016, 51: 1159-1167.

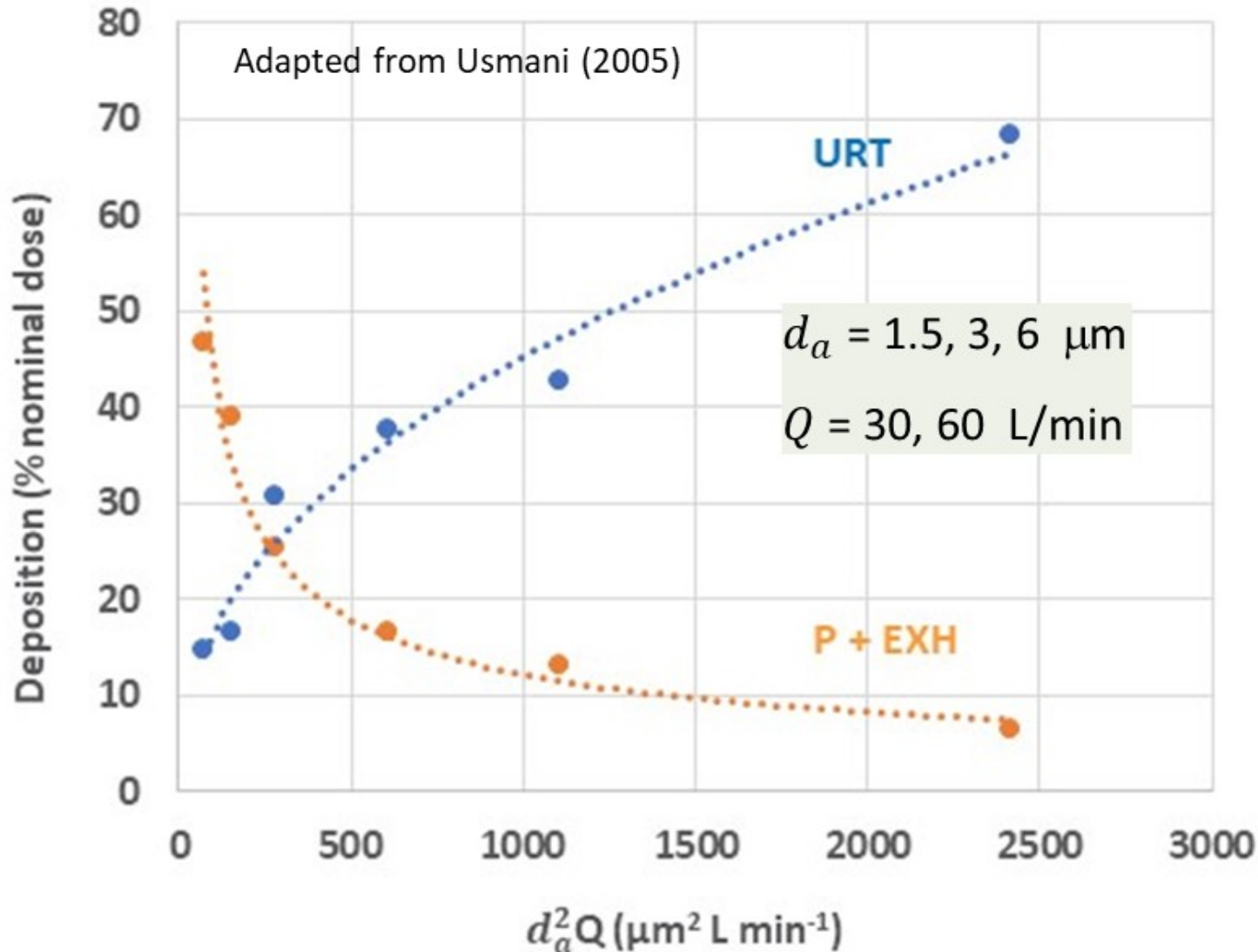
Stage deposition post-AIT is largely independent of PIFR for TOBI® Podhaler™ (constant TLD and MMIP)

Realistic Breathing Profiles / Alberta Idealized Throat / Next Generation Impactor



Source: A. Haynes et al: *Pediatr. Pulmonol.*, 48 (Suppl 36) (2013) 352.

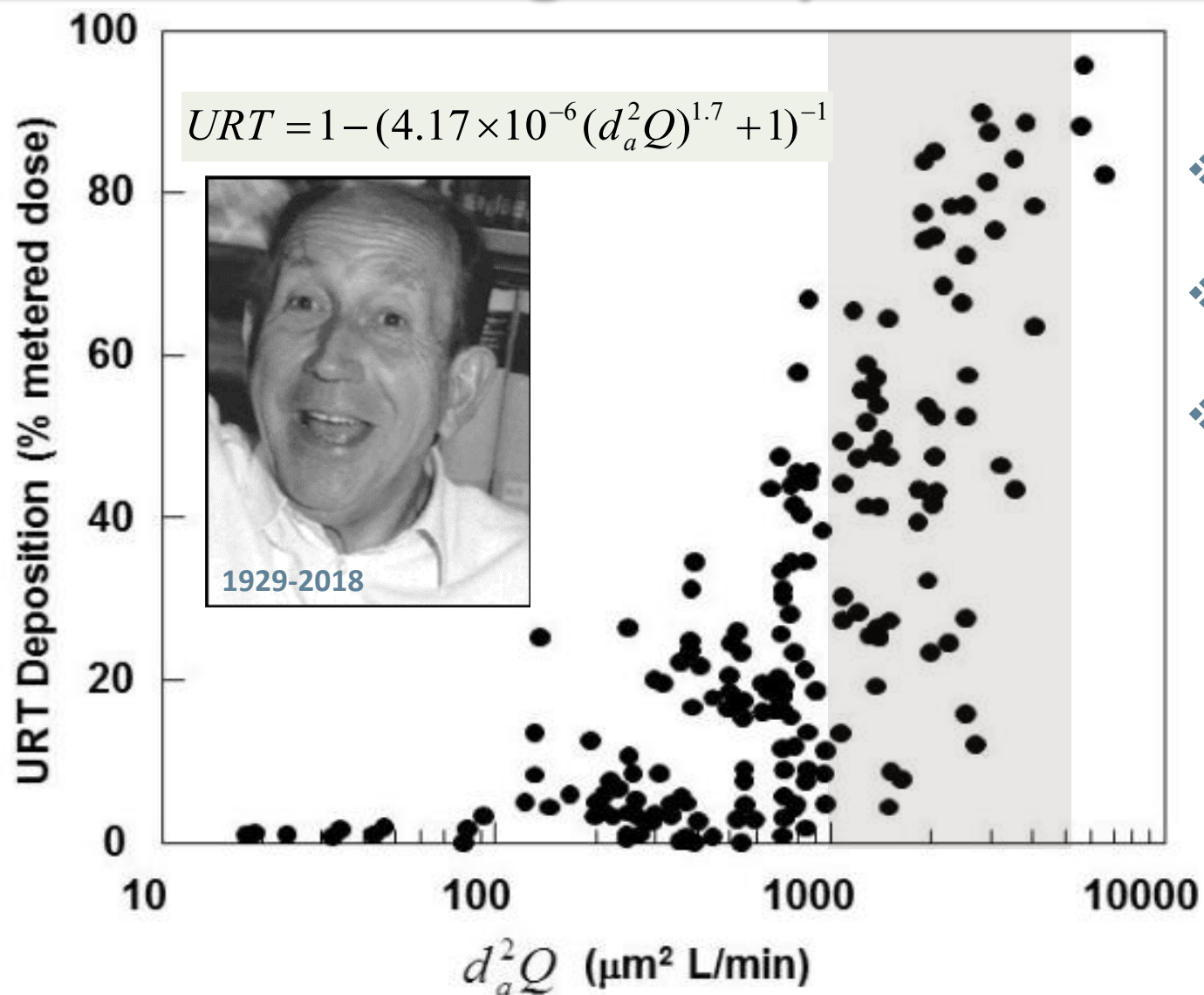
Inertial impaction controls regional deposition of monodisperse albuterol droplets in adult asthmatics



Source: Usmani et al: Regional lung deposition and bronchodilator response as a function of beta2-agonist particle size. Am J Respir Crit Care Med. 2005; 172:1497-1504.

Can we develop drug/device combinations that more effectively shift drug deposition from the URT to the small airways?

Current marketed formulations are right in the sweet spot to achieve high interpatient variability in lung delivery

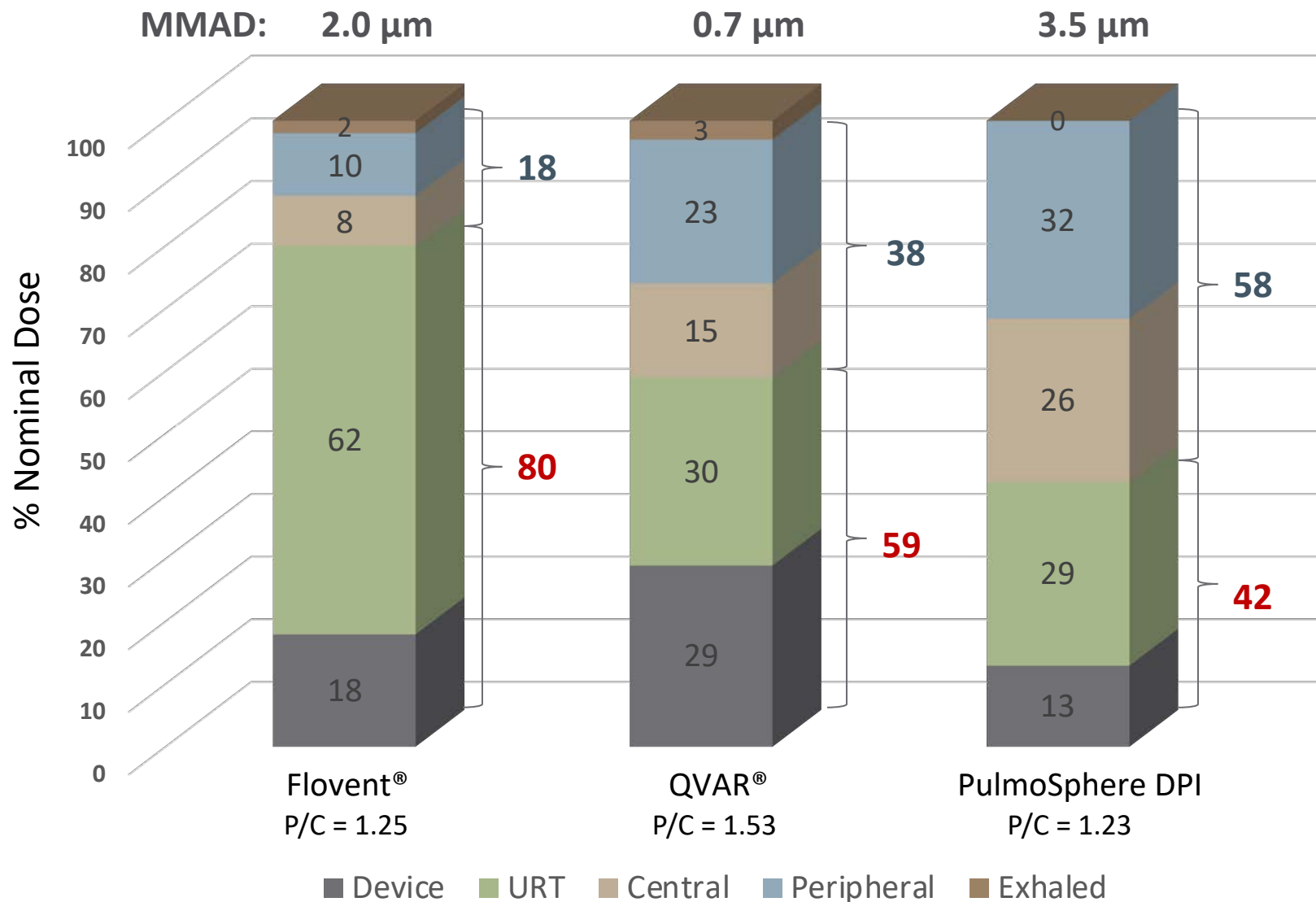


- ❖ Deposition in the URT is due to **inertial impaction**
- ❖ Current marketed inhalers have mean $d_a^2 Q$ values between $\sim 1,000$ and $5,000 \mu\text{m}^2 \text{ L/min}$
- ❖ Large variability in URT deposition is the result of anatomical differences in soft tissue in mouth and throat

Source: Stahlhofen W, Rudolf G, James AC: Intercomparison of regional deposition data. J Aerosol Med. 1989, 2:285-308.

Targeting of Inhaled Corticosteroids in the Lungs

Formulation of 'extrafine' particles is not a prerequisite for delivery to the lung periphery



Source: Leach CL, Kuehl PJ, Chand R, McDonald JD: Respiratory tract deposition of HFA-Beclothemason and HFA-Fluticasone in asthmatic patients. *J Aerosol Med Pulm Drug Deliv.* 2016, 29:127-133.

Source: Duddu SP et al: Improved lung delivery from a passive dry powder inhaler using an engineered PulmoSphere powder. *Pharm Res.* 2002, 19:689-695.



6.5 μm particles can provide significant deposition in the lung periphery if inhaled slowly

- ❖ **Test Substance:** $^{99\text{m}}\text{Tc}$ Sulphur colloid in water/propylene glycol (90/10 v/v)
- ❖ **Delivery System:** Small-volume nebulizer equipped with a 100-mL aerosol holding chamber, a two-way control valve with integrated mouthpiece
- ❖ **Characterization:** Droplet size was determined via laser diffraction (VMD \sim MMAD = 6.5 ± 0.2 , GSD ~ 2)
- ❖ **Imaging:** Gamma scintigraphy with 24-h clearance measurements to assess peripheral deposition

d_a (μm)	Q (L min^{-1})	$d_a^2 Q$ ($\mu\text{m}^2 \text{ L min}^{-1}$)	Respiratory Tract Deposition Mean (SD), %ND		
			URT	TLD	P
6.5	30	1267.5	51.9 (14.2)	47.8 (14.1)	27.1 (5.9)
6.5	8	338	28.5 (15.8)	71.3 (15.6)	47.0 (5.5)

Source: Clark AR et al: The effect of biphasic inhalation profiles on the deposition and clearance of coarse (6.5 μm) bolus aerosols. J Aerosol Med. 2007; 20:75-82.

Control of the inspiratory flow rate and volume of nebulized drug improves delivery of ICS to the small airways

United States Patent Muellinger et al.

(10) **Patent No.:** US 8,834,848 B2
(45) **Date of Patent:** Sep. 16, 2014

FLOW AND VOLUME REGULATED INHALATION FOR TREATMENT OF SEVERE ORAL CORTICOSTEROID-DEPENDENT ASTHMA

Inventors: **Bernard Muellinger**, Munich (DE);
Gerhard Scheuch, Wohrathal (DE);
Thomas Hofmann, Doylestown, PA
(US); **Philipp Kroneberg**, Olching (DE)

Assignee: **Activaero GmbH Research &
Development**, Gauting (DE)

Notice: Subject to any disclaimer, the term of this
patent is extended or adjusted under 35
U.S.C. 154(b) by 0 days.

(58) **Field of Classification Search**
USPC 424/43, 45, 400, 489; 128/200.16,
128/200.2, 203.13, 203.15; 514/127, 169,
514/170, 862, 958; 600/538
See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

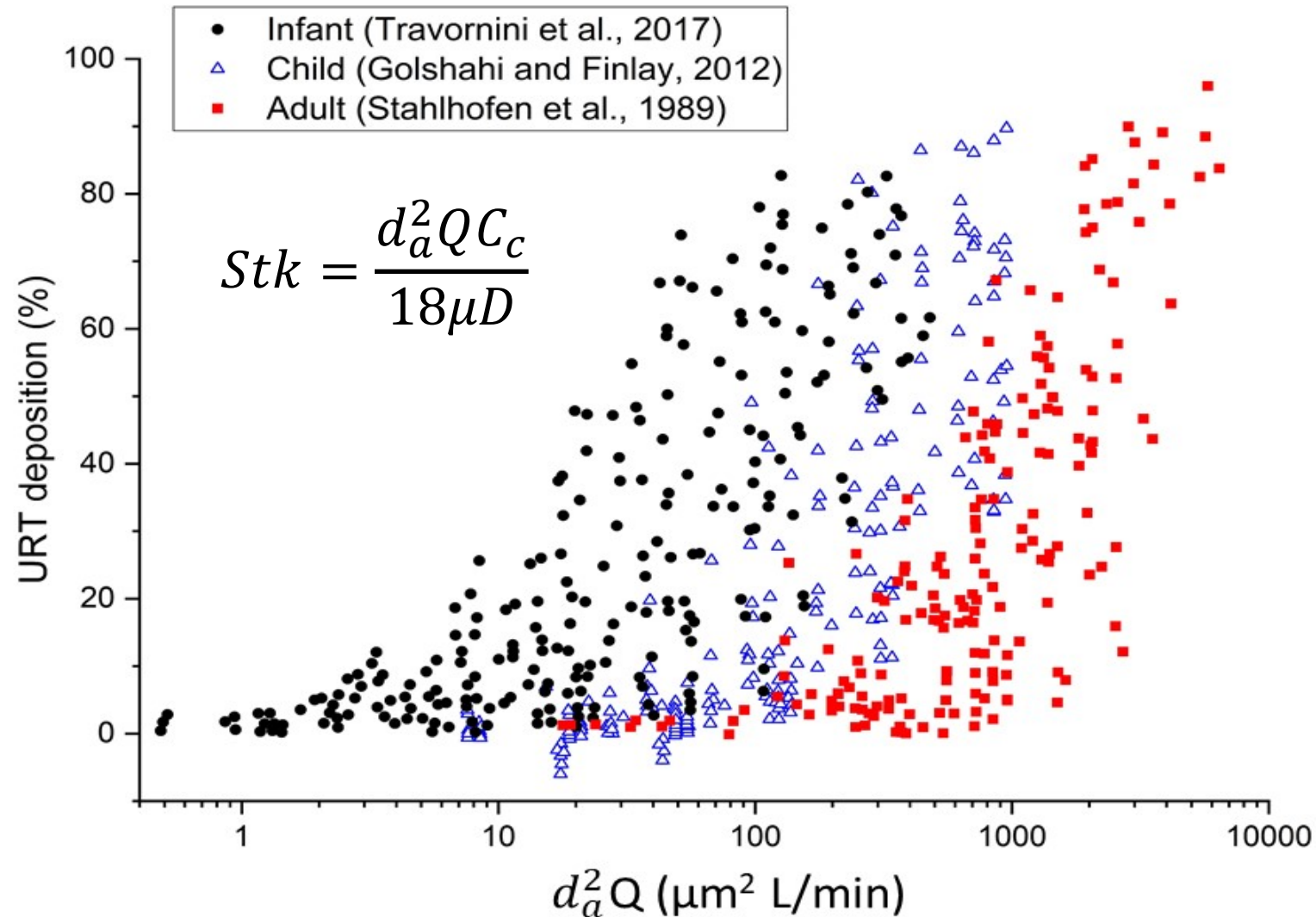
6,187,765 B1 2/2001 Harris et al.
6,401,710 B1 6/2002 Scheuch et al.

(Continued)

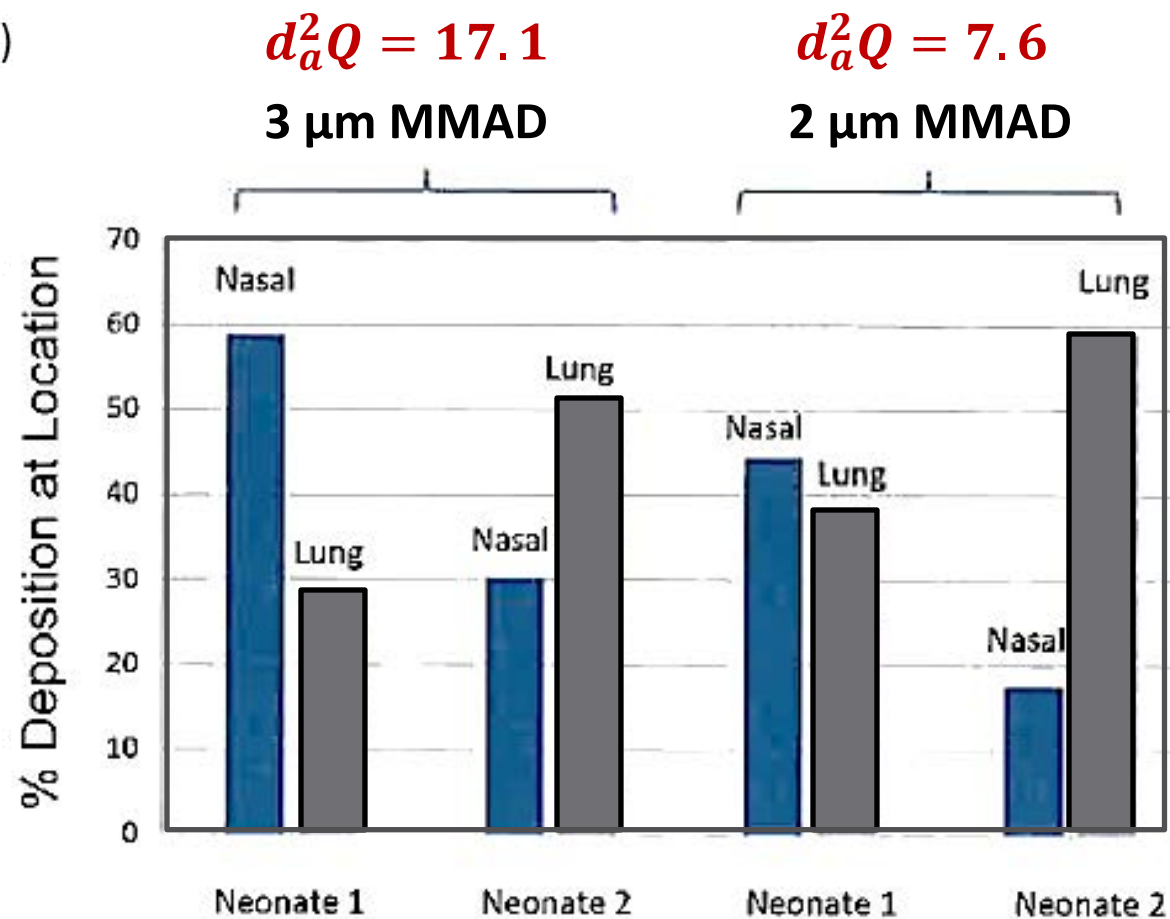
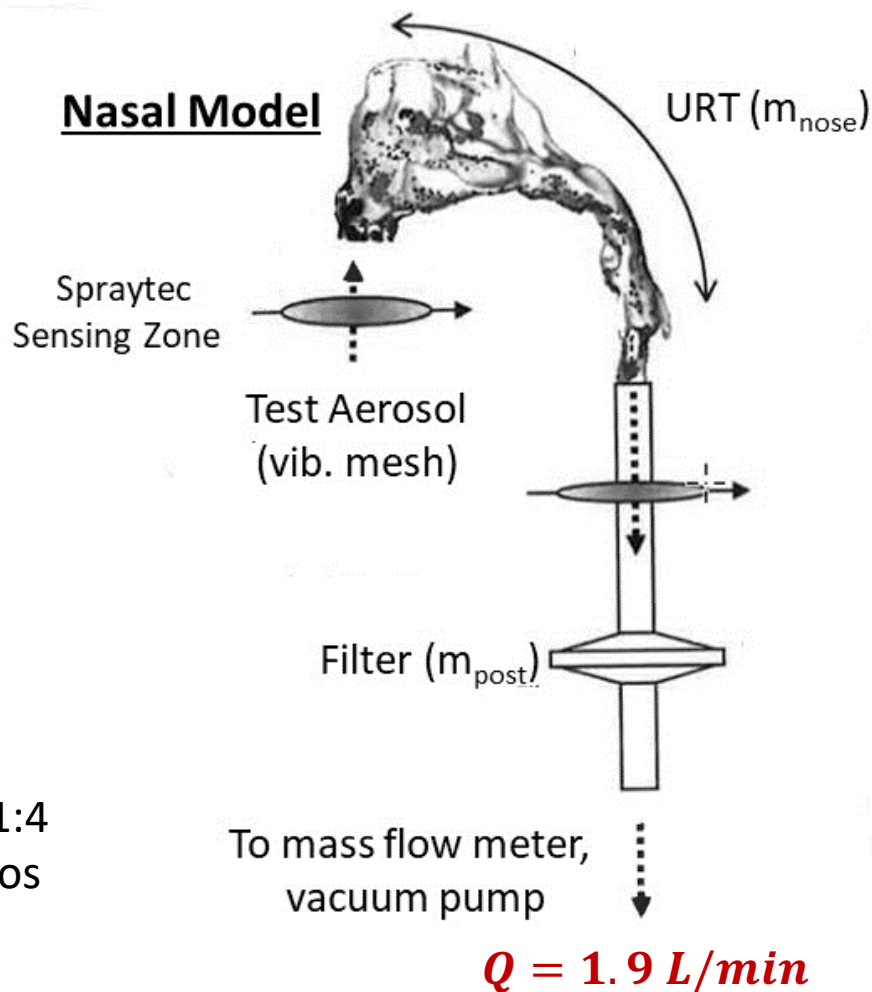
FOREIGN PATENT DOCUMENTS



Bypassing deposition in the URT of children and infants requires drug/device combinations with smaller impaction parameters



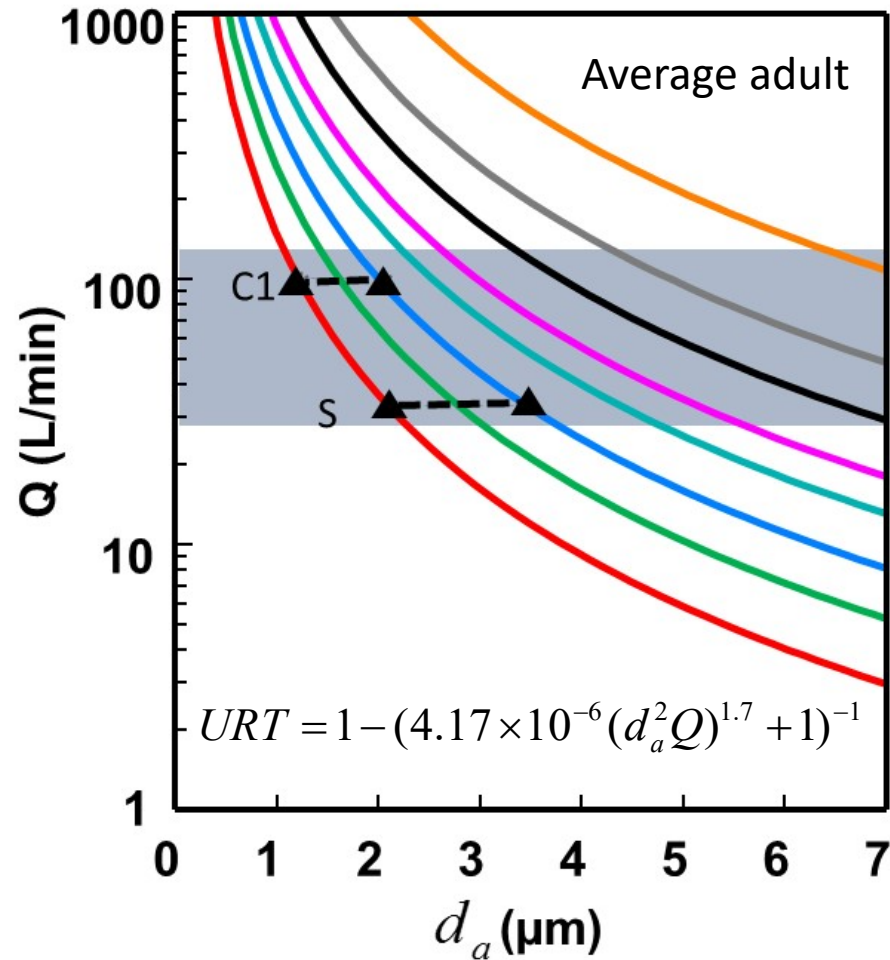
Aerosol delivery of 'surfactant' to neonates using nasal CPAP may seem impossible...



Breathing cycles – 1:3 or 1:4
inhalation:exhalation ratios

Source: Clark AR et al: Aerosol delivery in term and preterm infants: The final frontier. Respiratory Drug Delivery 2018, pp 159-167.

Bypassing deposition in the URT of adults requires that particles have an impaction parameter $< 150 \mu\text{m}^2 \text{L}/\text{min}$



URT	$d_a^2 Q$
0.90	5286
0.70	2388
0.50	1452
0.30	882
0.20	642
0.10	396
0.05	258
0.02	146

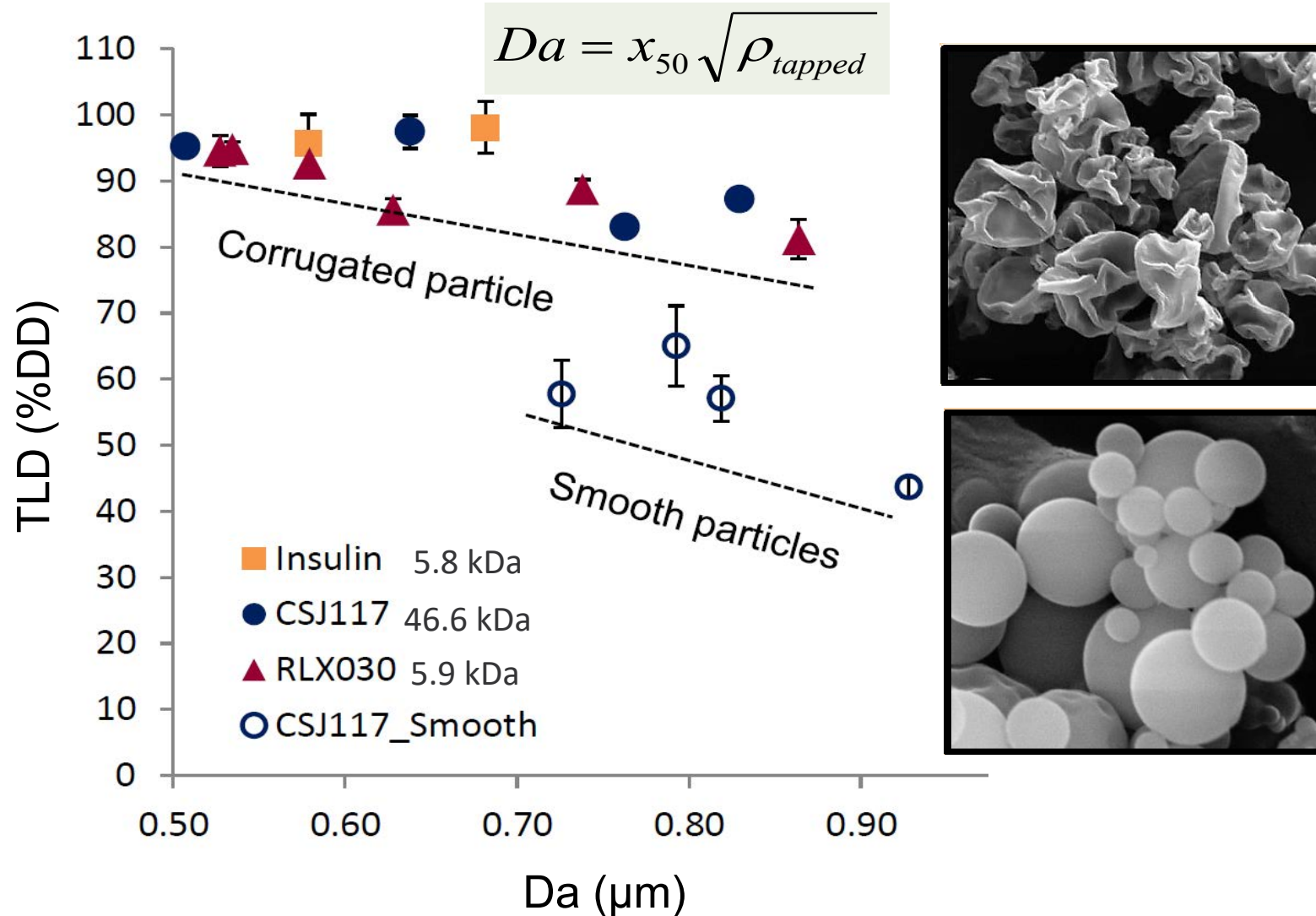


Higher resistance device may enable high TLD with less risk of exhaled particles

Source: Stahlhofen W, Rudolf G, James AC: Intercomparison of regional deposition data. J Aerosol Med. 1989, 2:285-308.

Source: Ung K, Weers J, Huang D, Rao R, Son Y-J: Targeted delivery of spray-dried formulations to the lungs. WO 2017 / 042696 A1.

Extrafine corrugated particles with median aerodynamic diameters less than $\sim 0.7 \mu\text{m}$ enable total lung doses $> 90\%$



Dry powder inhalers never fully disperse dry powders

Dispersed particles and their agglomerates must be respirable to bypass deposition in the URT

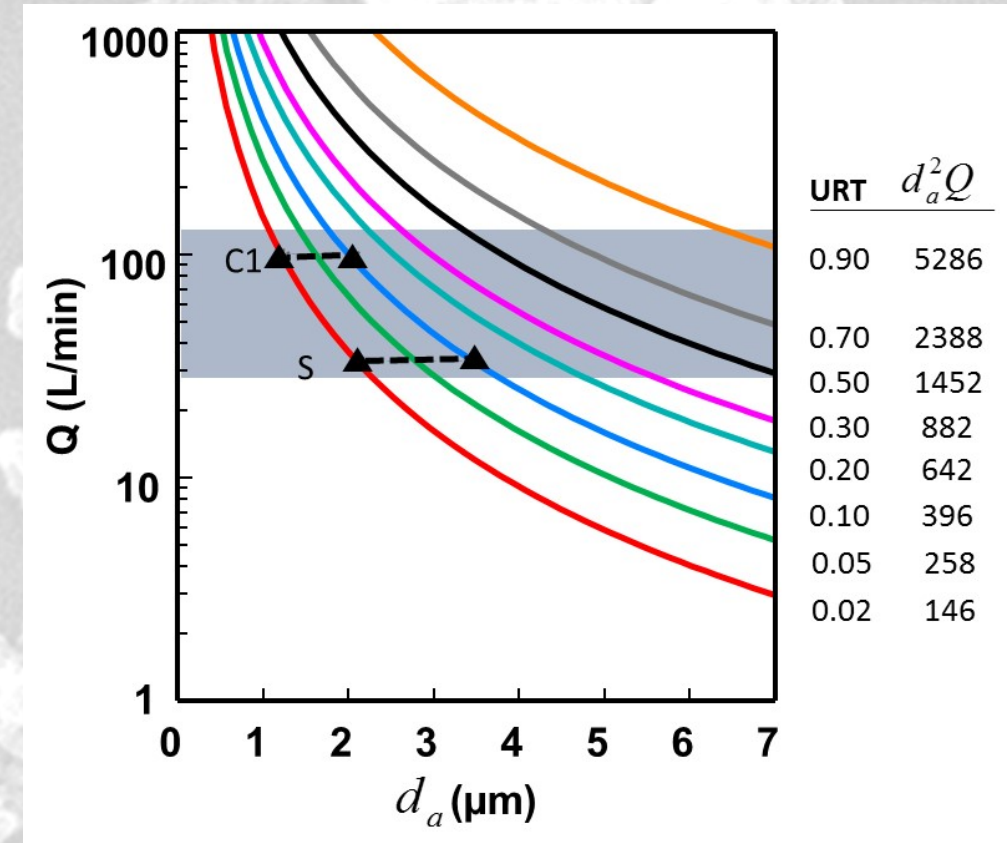
Source: Ung K, Weers J, Huang D, Rao R, Son Y-J: Targeted delivery of spray-dried formulations to the lungs. WO 2017 / 042696 A1.

Source: Weers J et al: Idealhalers versus realhalers: is it possible to bypass deposition in the upper respiratory tract? J Aerosol Med Pulm Drug Deliv. 2019,32:55-69.

URT deposition of extrafine corrugated insulin particles is consistent with Stahlhofen's empirical equation

Simoon Inhaler: $\Delta P = 4$ kPa (polydisperse particles)

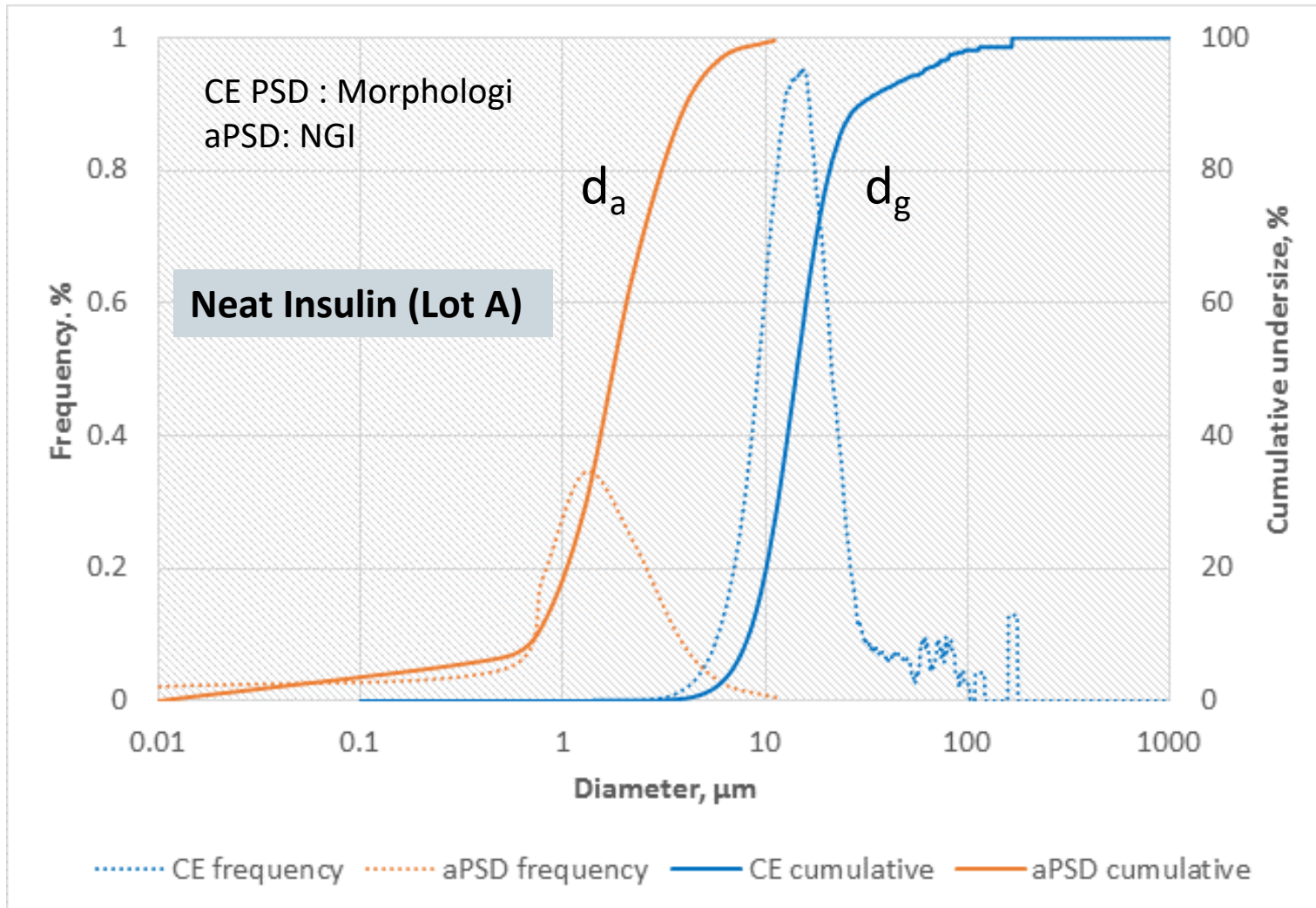
Attribute	Method	Lot A	Lot B
x50 (μm)	Laser diffraction	1.40	1.76
Bulk density (g cm^{-3})	Uniaxial compaction	0.17	0.15
Da (μm)	Calculated	0.58	0.68
MMAD (μm)	NGI	1.78	2.02
$d_a^2 Q$ ($\mu\text{m}^2 \text{ L/min}$)	Calculated	105	135
URT deposition (%DD)	AIT	2	5



Source: Ung K, Rao N, Weers J, Huang D, Chan H-K: Design of spray-dried insulin microparticles to bypass deposition in the extrathoracic region and maximize total lung dose. Int J Pharm. 2016, 511:1070-1079.

Source: Weers JG et al: Idehalers versus realhalers: Is it possible to bypass deposition in the upper respiratory tract? J Aerosol Med Pulm Drug Deliv. 2019, 32:55-69.

Dry powder aerosols of PP are comprised of 'large porous agglomerates'



$$d_a^{agg} = d_g^{agg} \sqrt{\rho_{agg}}$$

$$\rho_{agg} = \left[\frac{1.78}{12} \right]^2 = 0.02 \text{ g} \cdot \text{cm}^{-3}$$



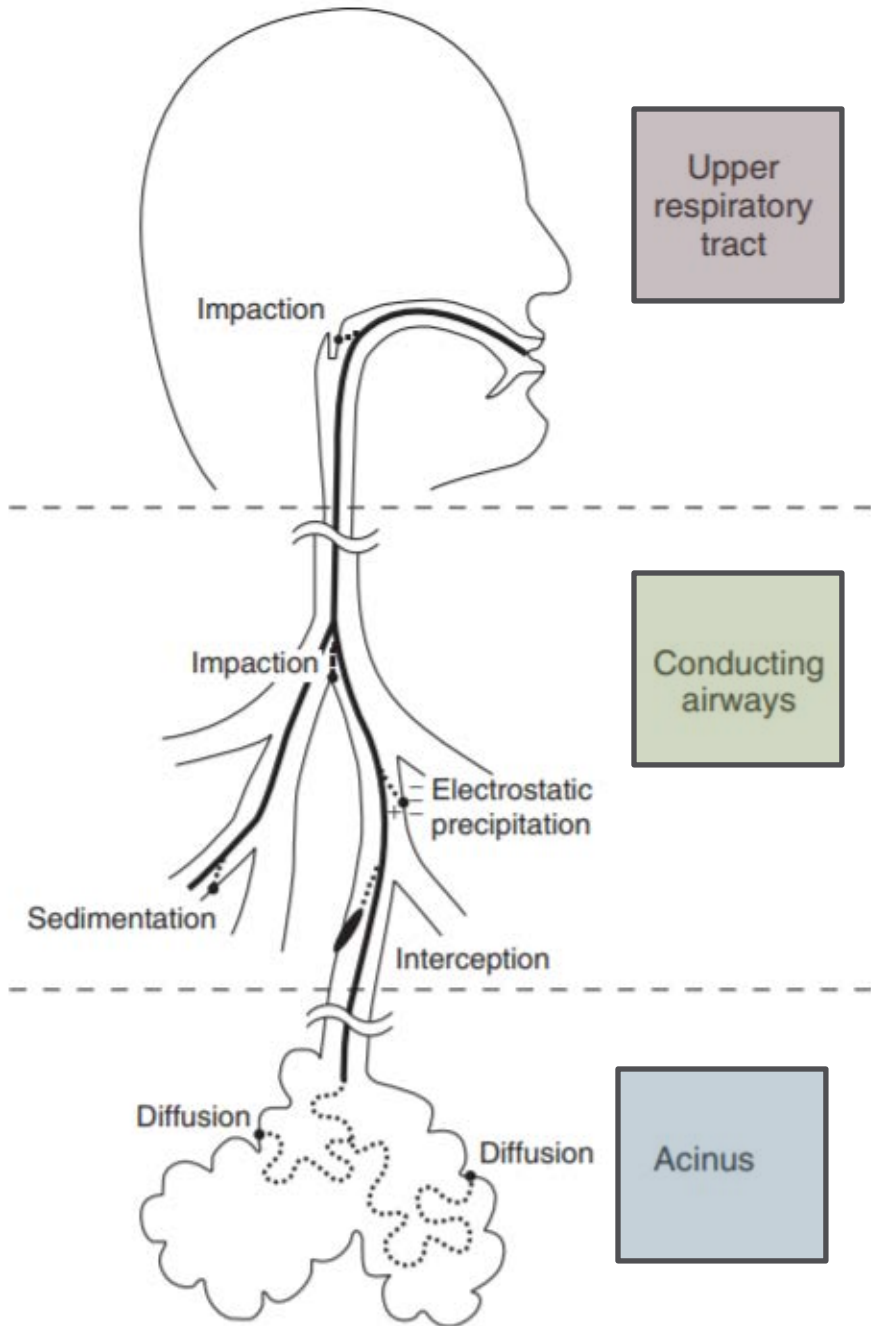
Morphologi G3 (Malvern)

Source: Weers J et al: Idealhalers versus realhalers: is it possible to bypass deposition in the upper respiratory tract?
 J Aerosol Med Pulm Drug Deliv. 2019, 32:55-69.

Misconceptions driven by focus on aerodynamic size

- ❖ **APSDs measured in an impactor are representative of the APSDs of the drug product**
- ❖ **A constant particle size with variations in flow rate leads to flow rate independence *in vivo***
 - Active devices that create the same APSD independent of Q provide flow rate independence
- ❖ **'Extrafine' particles are needed for effective delivery to the lung periphery**
- ❖ **Regional targeting within the respiratory tract can be achieved with monodisperse particles**
- ❖ **DPIs are inherently flow rate dependent, and the youngest and oldest of patients cannot effectively use a DPI**
 - Many asthma and COPD patients cannot generate sufficient airflow with a high resistance DPI to achieve effective dose delivery

Should we continue to measure size or do we really care more about regional deposition?



```
graph TD; A([Realistic Breathing Profile]) --> B[Device]; B -- ED --> C[URT]; C -- TLD --> D[Tracheobronchial Filter]; D --> E[Final Filter (Alveolar)];
```

The flowchart shows a vertical sequence of stages in rounded rectangular boxes, connected by downward arrows:

- Realistic Breathing Profile (oval)
- Device
- URT (Upper Respiratory Tract)
- Tracheobronchial Filter
- Final Filter (Alveolar)

Transitions between the Device, URT, and Tracheobronchial Filter stages are labeled with 'ED' and 'TLD' respectively.

Source: Tavernini S, Kiaee M, Farina D, Martin A, Finlay W: Development of a filter that mimics tracheobronchial deposition of respirable aerosols in humans. *Aerosol Sci Technol.* 2019, doi.org/10.1080/02786826.2019.1606414.



respira
therapeutics

*" I have seen a medicine
that's able to breathe life into a stone "*

William Shakespeare – All's Well That Ends Well - Act II, Scene 1